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## UTILITY PATENT APPLICATION TRANSMITTAL

4932I**-**I Attorney Docket No.

First Inventor or Application Identifier Doherty, Joni K. HER-Z BINDING ANTAGONISTS Title 0 EM563437079US

(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b)) Express Mail Label No. Assistant Commissioner for Patents APPLICATION ELEMENTS ADDRESS TO: **Box Patent Application** See MPEP chapter 600 concerning utility patent application contents. Washington, DC 20231 \* Fee Transmittal Form (e.g., PTO/SB/17) Microfiche Computer Program (Appendix) Š (Submit an original and a duplicate for fee processing) 7. Nucleotide and/or Amino Acid Sequence Submission X Specification Total Pages 33[] (if app<u>licabl</u>e, all necessary) (preferred arrangement set forth below) - Descriptive title of the Invention Computer Readable Copy - Cross References to Related Applications b. Paper Copy (identical to computer copy) - Statement Regarding Fed sponsored R & D Statement verifying identity of above copies - Reference to Microfiche Appendix C. - Background of the Invention ACCOMPANYING APPLICATION PARTS - Brief Summary of the Invention X Assignment Papers (cover sheet & document(s)) - Brief Description of the Drawings (if filed) 37 C.F.R.§3.73(b) Statement - Detailed Description Power of Att mey Х (when there is an assignee) - Claim(s) 10. English Translation Document (if applicable) - Abstract of the Disclosure Information Disclosure Copies of IDS 3. Drawing(s) (35 U.S.C. 113) [Total Sheets Statement (IDS)/PTO-1449 Citations Oath or Declaration **Preliminary Amendment** [Total Pages a. Newly executed (original or copy) X Return Receipt Postcard (MPEP 503) (Should be specifically itemized) Copy from a prior application (37 C.F.R. § 1.63(d)) (for continuation/divisional with Box 17 completed) b. Small Entity Statement filed in prior application, Statement(s) [Note Box 5 below] Status still proper and desired (PTO/S8/09-12) DELETION OF INVENTOR(S) Certified Copy of Priority Document(s) Signed statement attached deleting inventor(s) named in the prior application, (if foreign priority is claimed) see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b). Incorporation By Reference (useable if Box 4b is checked) The entire disclosure of the prior application, from which a copy of the cath or declaration is supplied under Box 4b, is NOTE FOR ITEMS 1 & 14: IN ORDER TO BE ENTITLED TO PAY SMALL ENTIT FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT considered to be part of the disclosure of the accompanying IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28). application and is hereby incorporated by reference therein. 17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment Continuation Divisional Continuation-in-part (CIP) of prior application No: Prior application Information: Examine Group / Art Unit: 18. CORRESPONDENCE ADDRESS Customer Number or Bar Code Label Correspondence address below (Insert Customer No. or Attach bar code label here) Jeffrey B. Oster Name Davis Wright Tremaine 2600 Century Square Address 1501 Fourth Avenue City Seattle State WA Zip Code 98101-1688 USA Country Telephone 206-628-7711 Fax 206-628-7699 Jeffrey B. Name (Print/Type) Oster 32**,**585 Registration No. (Attorney/Agent)

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## UNITED STATES PATENT AND TRADEMARK OFFICE



Title: HER-2 BINDING ANTAGONISTS

Attorney Docket No. 49321-1

Applicant:

Joni Kristin Doherty and Gail M. Clinton

Application Serial No. to be assigned

Date of Deposit:

20 January 1999

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Date